## The Four & Five Jhānas in the Sutta and Abhidhamma

Are there four or five fine-material jhānas?

The usual *jhāna* scheme is the **four** fine-material *jhānas* which is sometimes called the 'fourfold scheme' (*catuk-kanaya*), and which is found abundantly in the Tipiṭaka. But there is another scheme in several Suttas and also in the Abhidhamma with **five** fine-material *jhānas* which is sometimes called 'fivefold scheme' (*pañcakanaya*). In spite of this, there is a widespread opinion that the fivefold scheme is found in the Abhidhamma only, where it is mentioned more often. It is assumed that this is an invention of a later Abhidhamma literature and it thus contradicts the Suttas. It will be shown below, however, that this opinion is untenable and that the Abhidhamma copies it from Suttas where it occurs several times, but it is commonly overlooked by many teachers and students alike. The key term in the Suttas is an additional type of concentration (*samādhi*) which the Buddha calls 'concentration without applied thought but with sustained thought only' (*avitakka-vicāra-matto samādhi*). This type of concentration (*samādhi*) is mentioned five times in the whole Suttapiṭaka in the following Suttas:

Saṅgītisuttaṃ & Dasuttarasuttaṃ — DN (Dīgha Nikāya)
Upakkilesasuttaṃ — MN (Majjhima Nikāya)
Savitakka-savicāra-suttaṃ — SN (Saṃyutta Nikāya)
Saṃkhittasuttaṃ — AN (Aṅguttara Nikāya)

In DN and SN it is used in a context with two other types of concentration referred to as the 'three types of concentration' ( $tayo\ sam\bar{a}dh\bar{\imath}$ ) thus:

"There are three types of concentration (tayo samādhī)—

- [1] concentration **with** applied thought and sustained thought (savitakka-savicāro samādhi)
- [2] concentration **without** applied thought but **with** sustained thought **only** (avitakka-vicāra-matto samādhi)
- [3] concentration **without** applied thought and **without** sustained thought (avitakka-avicāro samādhi)."

In MN the Buddha says about himself how "I developed (bhāvesim)" these three types of concentration thus:

- [1] I developed concentration **with** applied thought and sustained thought (savitakkampi savicāram samādhim bhāvesim).
- [2] I developed concentration **without** applied thought but **with** sustained thought **only** (avitakkampi vicāra-mattaṃ samādhiṃ bhāvesiṃ).

[3] I developed concentration **without** applied thought and **without** sustained thought (avitakkampi avicāraṃ samādhiṃ bhāvesiṃ)." (MN Upakkilesasuttaṃ)

Moreover, in AN the Buddha advises a certain bhikkhu how he should develop (*bhāveyyāsi*) concentration in also three ways:

- [1] "You should develop this concentration **with** applied thought and sustained thought (*imaṃ samādhiṃ savitakka-savicārampi bhāveyyāsi*).
- [2] You should develop this concentration **without** applied thought but **with** sustained thought **only** (*avitakka-vicāramattampi bhāveyyāsi*).
- [3] You should develop this concentration **without** applied thought and **without** sustained thought (avitakka-avicārampi bhāveyyāsi)." (AN Saṃkhittasuttaṃ)

In order to understand these three types of concentration and the difference between them, we should first understand the fourfold *jhāna* scheme (*catukkanaya*) where the terms "applied thought" and "sustained thought" (*vitakka*, *vicāra*) occur in the Suttas always in connection with the concentration in the *jhānas*. Especially, the term "with applied thought and sustained thought" (*savitakka-savicāra*) comes always in connection with the 1<sup>st</sup> *jhāna* and refers to the 1<sup>st</sup> *jhāna* concentration thus:

1st jhāna: "One attains and remains in the first jhāna, which is accompanied by applied and sustained thought (savitakka-savicāra), and consists of rapture (pīti) and bliss (sukha) born of seclusion."

(savitakkam savicāram vivekajam pīti-sukham paṭhamam (first) jhānam upasampajja viharati.)

Next, the term "without applied thought and without sustained thought" ( $avitakka-avic\bar{a}ra$ ) occurs always in connection with the  $2^{nd}$   $jh\bar{a}na$  and refers to the  $2^{nd}$   $jh\bar{a}na$  concentration thus:

2<sup>nd</sup> jhāna: "One attains and remains in the second jhāna, which is without applied and sustained thought (avitakka-avicāra), and consists of rapture (pīti) and bliss (sukha) **born of concentration** (samādhi)."

(avitakkam avicāram samādhijam pīti-sukham dutiyam (second) jhānam upasampajja viharati.)

Note here the term **born of concentration** (*samādhijaṃ*) or **concentration** (*samādhi*) which is linked to "concentration without applied and sustained thought" (*avitakkaṃ avicāraṃ samādhi*). This refers to the 2<sup>nd</sup> *jhāna* concentration.

Next comes the  $3^{rd}$   $jh\bar{a}na$  which abandons rapture  $(p\bar{\imath}ti)$ , hence it is called sometimes "without rapture"  $(nipp\bar{\imath}tika)$ , but has bliss (sukha) as its factor described in many Suttas thus:

 $3^{rd}$   $jh\bar{a}na$ : "With the fading away of rapture ( $p\bar{\iota}ti$ ), he remains equanimous, and mindful and fully aware, still feeling bliss (sukha) with the body, he attains and remains in the third  $jh\bar{a}na$ , on account of which the noble ones declare: 'He is equanimous, mindful and blissful.'

(pītiyā ca virāgā upekkhako ca viharati sato ca sampajāno, **sukha**ñca kāyena paṭisaṃvedeti, yaṃ taṃ ariyā ācikkhanti – "upekkhako satimā sukhavihārī'ti, tatiyaṃ (third) jhānaṃ upasampajja viharati.)

Next comes the  $4^{th}$   $jh\bar{a}na$  which abandons bliss (sukha), but has equanimity ( $upekkh\bar{a}$ ) as its factor described in many Suttas thus:

<u>4<sup>th</sup> *jhāna*</u>: "With the abandoning of bliss (*sukha*) and discomfort (*dukkha*), and with the previous disappearance of pleasure and displeasure, he attains and remains in the fourth *jhāna*, which is neither painful nor pleasant, and which has purity of mindfulness due to **equanimity** (*upekkhā*).

(sukhassa ca pahānā dukkhassa ca pahānā, pubbeva somanassadomanassānaṃ atthaṅgamā adukkhamasukhaṃ **upekkhā-**satipārisuddhiṃ catutthaṃ (fourth) jhānaṃ upasampajja viharati.)

These are the four fine-material *jhānas* and are the usual *jhāna* scheme in the Suttas which, as aforesaid, is sometimes called "fourfold *jhāna* scheme" (*catukkanaya*). According to this scheme, the 1<sup>st</sup> *jhāna* has two main factors:

**1**<sup>st</sup> *jhāna*: "with applied thought and sustained thought" (*savitakka-savicāra*)

The  $2^{nd}$  *jhāna* simultaneously abandons here "applied and sustained thought" (*vitakka*, *vicāra*) and has two main factors, rapture ( $p\bar{t}i$ ) and bliss (*sukha*):

**2<sup>nd</sup> jhāna**: "applied and sustained thought (avitakka-avicāra), consists of rapture (pīti) and bliss (sukha) born of concentration (samādhi)."

The  $3^{rd}$  *jhāna* abandons rapture ( $p\bar{t}i$ ):

**3<sup>rd</sup> jhāna:** "With the fading away of rapture (pīti) ... still feeling bliss (sukha) with the body, he attains and remains in the third jhāna."

The 4<sup>th</sup> *jhāna* abandons bliss (*sukha*) and has equanimity (*upekkhā*):

**4<sup>th</sup> jhāna:** "With the abandoning of bilss (sukha) ... he attains and remains in the fourth jhāna ... which has purity of mindfulness due to **equanimity** (upekkhā)."

Consequently, in regard to the 'three types of concentration' (*tayo samādhī*) the correlation with the *jhāna* concentration should be as follows:

Three Types of Concentration Suttas in DN, MN, SN, AN		Jhāna Suttas with the Fourfold Jhāna Scheme (Catukkanaya)
[1] concentration <b>with</b> applied thought and sustained thought (savitakka-savicāro samādhi)	is	→ 1 <sup>st</sup> jhāna accompanied by applied and sustained thought (savitakka-savicāra)
[2] concentration <b>without</b> applied thought but <b>with</b> sustained thought <b>only</b> (avitakka-vicāra-matto samādhi)	is	→ No mention
[3] concentration <b>without</b> applied thought and <b>without</b> sustained thought (avitakka-avicāro samādhi).	is	→ 2 <sup>nd</sup> without applied and sustained thought born of concentration (samādhi)." (avitakka-avicāraṃ samādhijaṃ)

# What is Concentration with Sustained Thought Only (Vicāra-matta Samādhi)?

But then, what is here the type of "concentration **without** applied thought but **with** sustained thought **only**" (avitakka vicāra-matta samādhi)? How does this middle stage of concentration fit between the 1<sup>st</sup> and the 2<sup>nd</sup> jhāna of the fourfold jhāna scheme (catukkanaya)? As it can be seen, it doesn't.

Hence, we should understand here that this is a different or additional scheme (*adhika-naya*), where the 2<sup>nd</sup> *jhāna* is attained in two different ways. It is attained differently by those who cannot, or do not want to overcome applied and sustained thought simultaneously but eliminate them successively—first applied thought (*vitakka*) and then sustained thought (*vicāra*). Thus they attain:

- 1<sup>st</sup> *jhāna* **with** applied thought and sustained thought (*savitakkaṃ savicāraṃ*)
- 2<sup>nd</sup> *jhāna* **without** applied thought but **with** sustained thought only (*avitakka vicāra-matta*)
- 3<sup>rd</sup> *jhāna* **without** applied thought and **without** sustained thought (*avitakka-avicāra*), but with rapture (*pīti*)
- 4<sup>th</sup> jhāna with bliss (sukha), and
- 5<sup>th</sup> *jhāna* with equanimity (*upekkhā*)

Two canonical commentaries, the Mahāniddesa and Cūlaniddesa, refer also to the "concentration **without** applied thought but **with** sustained thought **only**" as 'a **jhāna without** applied thought but **with** sustained thought **only** (avitakka-vicāra-matta jhāna) thus:

- "[1] he meditates with the *jhāna* accompanied **with** applied thought and sustained thought (*savitakka-savicārenapi jhānena jhāyī*),
- [2] he meditates with the *jhāna* accompanied **without** applied thought but **with** sustained thought **only** (*avitakka-vicāra-mattenapi jhānena jhāyī*),
- [3] he meditates with the *jhāna* that is **without** applied thought and **without** sustained thought (avitakka-avicārenapi jhānena jhāyī)." <sup>1</sup>

Hence, this additional scheme (*adhika-naya*) in the abovementioned Suttas renumbers the order of *jhānas* of the fourfold scheme thus:

<sup>&</sup>lt;sup>1</sup> Mahāniddesa, *Tuvaṭṭakasuttaniddesa*; Cūļaniddesapāļi, *Udayamāṇavapucchāniddesa*.

	fold Jhāna Scheme tukkanaya)	Additional Scheme in DN, MN, SN, AN
2 <sup>nd</sup> jhāna		becomes 2 <sup>nd</sup> <i>jhāna</i> <b>without</b> applied thought but <b>with</b> sustained thought only ( <i>avitakka-vicāra-matta</i> )  becomes 3 <sup>rd</sup> <i>jhāna</i> without applied and without sustained thought
		(avitakka avicāra)
3 <sup>rd</sup> jhāna		becomes 4 <sup>th</sup> <i>jhāna</i> with bliss ( <i>sukha</i> )
4 <sup>th</sup> jhāna		becomes 5 <sup>th</sup> <i>jhāna</i> with equanimity ( <i>upekkhā</i> )

The additional scheme (*adhika-naya*) can be more clearly seen when we read the Buddha's full explanation in MN *Upakkilesasuttam* thus:

### MN Upakkilesasuttam

- I developed concentration **with** applied thought and sustained thought (savitakkampi savicāraṃ samādhiṃ bhāvesiṃ)
- I developed concentration without applied thought but with sustained thought only (avitakkampi vicāramattam samādhim bhāvesim)
- I developed concentration **without** applied and sustained thought (avitakkampi avicāraṃ samādhiṃ bhāvesiṃ)
- I developed concentration with rapture (sappītikampi samādhim bhāvesim)
- I developed concentration **without** rapture (nippītikampi samādhiṃ bhāvesiṃ)
- I developed concentration **with** pleasure (*sāta*) = bliss (*sukha*) *sātasahagatampi samādhiṃ bhāvesiṃ*,
- I developed concentration with equanimity (upekkhāsahagatampi samādhim bhāvesim).

Here the correlation with the *jhānas* and the *jhāna* factors (*jhānaṅga*) is as follows:

Additional Scheme in MN & AN		Correlation with the Jhānas
<ul> <li>with applied thought and sustained thought (savitakka-savicārampi)</li> </ul>	is	→ 1 <sup>st</sup> jhāna with applied thought and sustained thought (savitakkaṃ savicāraṃ)
<ul> <li>without applied thought but with sustained thought only (avitakka-vicāramattampi)</li> </ul>	is	→ 2 <sup>nd</sup> jhāna without applied thought but with sustained thought only (avitakka-vicāramattaṃ)
<ul> <li>without applied and sustained thought         (avitakka-avicārampi)</li> <li>with rapture (sappītikampi)</li> </ul>	is	→ 3 <sup>rd</sup> jhāna <b>without</b> applied and sustained thought (avitakka-avicāraṃ), but with rapture (sappītikam)

<ul><li>without rapture (nippītikampi)</li><li>with pleasure (sātasahagatampi)</li></ul>	is	$\rightarrow$ 4 <sup>th</sup> jhāna <b>without</b> rapture (pīti) but with bliss (sukha)
• with equanimity (upekkhāsahagatampi)	is	→ 5 <sup>th</sup> jhāna with <b>upekkhā</b>

According to the *jhāna* factors (*jhānaṅga*), there are five factors employed in the *jhānas*:

1. applied thought (vitakko), 2. sustained thought (vicāro), 3. rapture (pīti), 4. bliss (sukha), 5. equanimity (upekkhā).

Thus we have: In the fourfold *jhāna* scheme (*catukkanaya*)

The  $2^{nd}$  jhāna abandons  $\rightarrow$  1. applied thought (vitakko), 2. sustained thought (vicāro),  $\square$  but it has 3. rapture, 4. bliss

The  $3^{rd}$  jhāna abandons  $\rightarrow$  3. rapture (pīti),  $\square$  but it has 4. bliss (sukha)

The 4<sup>th</sup> jhāna abandons  $\rightarrow$  4. bliss (sukha),  $\square$  but it has 5. equanimity (upekkhā)

However, in the additional scheme (adhika-naya)

The  $2^{nd}$  jhāna abandons  $\rightarrow$  1. applied thought (vitakko),-  $\square$  but it has 2. sustained thought, 3. rapture, 4. bliss

The  $3^{rd}$  jhāna abandons  $\rightarrow$  2. sustained thought (vicāro),  $\Box$  but it has 3. rapture, 4. bliss

The 4<sup>th</sup> jhāna abandons  $\rightarrow$  3. rapture ( $p\bar{i}ti$ ),  $\Box$  but it has **4.** bliss (sukha)

The 5<sup>th</sup> jhāna abandons  $\rightarrow$  4. bliss (sukha),  $\square$  but it has **5.** equanimity (upekkhā)

### **The Sutta Commentaries**

The Sutta Commentaries call the additional scheme 'fivefold scheme' (pañcaka-naya) and are explicit that the 2<sup>nd</sup> jhāna concentration is "without applied thought but with sustained thought only" (avitakka-vicāramattaṃ). The Dīghanikāya Commentary explains it thus:

"In the three types of concentration, the first <code>jhāna</code> concentration is <code>with</code> applied and sustained thought (<code>savitakka-savicāra</code>). The second <code>jhāna</code> concentration is, according to the 'fivefold scheme', <code>without</code> applied thought but with sustained thought <code>only</code>" (<code>avitakka-vicāramatta</code>). The rest is <code>without</code> applied and sustained thought (<code>avitakka-avicāram</code>)."

"Samādhīsu paţhamajjhānasamādhi savitakkasavicāro. Pañcakanayena dutiyajjhānasamādhi avitakkavicāramatto. Seso avitakka-avicāro." <sup>2</sup>

The Paţisambhidāmagga Commentary explains it similarly:

"Concentration **without** applied thought but **with** sustained thought **only** means, except for sustained thought (*vicāra*), it has no connection with applied thought (*vitakka*). This is the second *jhāna* concentration of the fivefold scheme."

"Avitakka-vicāramatto samādhi, vicārato uttari vitakkena sampayogam na gacchatīti attho. So pañcakanaye dutiya-jjhāna-samādhi."

The Majjhimanikāya Commentary explains it likeswise but explains also the terms "with rapture" (sappītikampi), "with pleasure" (sātasahagatampi) and "with equanimity" (upekkhāsahagatampi) according to the fourfold and fivefold scheme (catukkanaye'pi, pañcakanaye'pi) thus:

<sup>&</sup>lt;sup>2</sup> Dīghanikāya Aţţhakathā, Saṅqītisuttavannanā.

"Without applied thought but with sustained thought only' means the second *jhāna* concentration of the fivefold scheme.

**With rapture** means the second *jhāna* (of the fourfold scheme) and the third *jhāna* (of the fivefold scheme).

With happiness means the third  $jh\bar{a}na$  (of the fourfold scheme) and the fourth  $jh\bar{a}na$  (of the fivefold scheme).

**With equanimity** means the fourth *jhāna* of the fourfold scheme (*catukkanaye*) and the fiftth *jhāna* of the fivefold scheme (*pañcakanaye*)."

"Avitakkampi vicāramattanti pañcakanaye dutiyajjhānasamādhim.

Sappītikanti dukatikajjhānasamādhim.

Sātasahagatanti tikacatukkajjhānasamādhim.

**Upekkhāsahagatan**ti catukkanaye catutthajjhānasamādhiṃ pañcakanaye pañcamajjhānasamādhim."

#### The Abhidhamma

As in the Suttas, so also in the Abhidhamma the 'fourfold scheme' (catukkanaya) is the most common one, but the additional scheme, which is the 'fivefold scheme' (pañcakanaya), appears numerous times as well. In fact, the terms catukkanaya and pañcakanaya come from Dhammasaṅgaṇī (Dhs), the first book of Abhidhammapiṭaka,³ which terms the Pāḷi Commentaries copy and use. Nevertheless, the 'fivefold scheme' (pañcakanaya) is based on the abovementioned Suttas in DN, MN, SN and AN regarding the additional scheme with the "concentration without applied thought but with sustained thought only" (avitakka-vicāramatto samādhi) and the renumbering of the jhāna factors (jhānaṅga).

As for the 'fourfold scheme' (catukkanaya), it is said in Abhidhamma that there are four jhānas:

"There are four jhānas (cattāri jhānāni)—[1] paṭhamaṃ jhānaṃ, [2] dutiyaṃ jhānaṃ, [3] tatiyaṃ jhānaṃ, [4] catuttham jhānam." 4

These are explained in the same way as in the Suttas but with more details as to the concomitant mental factors that accompany them.

Regarding the 'fivefold scheme' (pañcakanaya), the term "with applied and sustained thought" (savitakka-savicāra) is given as the first jhāna. But the term "without applied thought but with sustained thought only" (avitakkaṃ vicāramattaṃ) is given as the second jhāna thus:

"One attains and abides in the **second** *jhāna* which is **without** applied thought but **with** sustained thought **only** and with rapture and bliss born of concentration." (Dhs §168)

**"Avitakkaṃ vicāra-mattaṃ** samādhijaṃ pītisukhaṃ dutiyaṃ jhānaṃ upasampajja viharati."

As for the other *jhānas*, the term "**without** applied and sustained thought" (*avitakka-avicāra*) which is accompanied by rapture (*pīti*) is given as the third *jhāna* thus:

""With the stilling of applied and sustained thought ... one attains and remains in the **third** *jhāna* **without** applied and sustained thought and with rapture and bliss born of concentration." (Dhs §170)

"Vitakkavicārānaṃ vūpasamā ... **avitakkaṃ avicāraṃ** samādhijaṃ pītisukhaṃ **tatiyaṃ** jhānaṃ upasampajja viharati."

Consequently the third *jhāna* of the 'fourfold scheme' (*catukkanaya*) which abandons rapture (*pīti*) but retains bliss (*sukha*) becomes the fourth *jhāna* thus:

Page **7** of **10** 

<sup>&</sup>lt;sup>3</sup> "Rūpāvacarakusalaṃ catukkanayo pañcakanayo." (Dhs §160, 167).

<sup>&</sup>lt;sup>4</sup> Vibhangapāli § 623.

"With the fading away of **rapture** ( $p\bar{\imath}ti$ ), he remains equanimous, and mindful and fully aware, still feeling **bliss** (sukha) with the body, he attains and remains in the **fourth**  $jh\bar{a}na$ , on account of which the noble ones declare: 'He is equanimous, mindful and blissful.'

(Dhs §172)

"Pītiyā ca virāgā upekkhako ca viharati sato ca sampajāno, sukhañca kāyena paṭisaṃvedeti, yaṃ taṃ ariyā ācikkhanti — "upekkhako satimā sukhavihārī'ti, catutthaṃ jhānaṃ upasampajja viharati."

Then the fourth *jhāna* of the 'fourfold scheme' (*catukkanaya*) which eliminates **bliss** (*sukha*) but retains equanimity (*upekkhā*) becomes the fifth *jhāna* thus:

"With the abandoning of **bliss** (*sukha*) and discomfort (dukkha), and with the previous disappearance of pleasure and displeasure, he attains and remains in the **fifth** *jhāna*, which is neither painful nor pleasant, and which has purity of mindfulness due to **equanimity** (*upekkhā*). (Dhs §174)

"Sukhassa ca pahānā dukkhassa ca pahānā, pubbeva somanassadomanassānam atthaṅgamā adukkhamasukham upekkhāsatipārisuddhim **pañcamam** jhānam upasampajja viharati."

Thus the Abhidhamma explanation is based on the Sutta regarding the additional "concentration **without** applied thought but **with** sustained thought **only**" (*avitakka-vicāramatto samādhi*) and the renumbering of the *jhāna* factors (*jhānaṅga*). As in the Suttas, here also, according to the 'fivefold scheme' (*pañcakanaya*):

The  $2^{nd}$  jhāna abandons  $\rightarrow$  1. applied thought (vitakko),  $\square$  but it has 2. sustained thought, 3. rapture, 4. bliss

The  $3^{rd}$  jhāna abandons  $\rightarrow$  2. sustained thought (vicāro), -1 but it has 3. rapture, 4. bliss

The 4<sup>th</sup> jhāna abandons  $\rightarrow$  3. rapture ( $p\bar{i}ti$ ),  $\Box$  but it has 4. bliss (sukha)

The 5<sup>th</sup> jhāna abandons  $\rightarrow$  4. bliss (sukha),  $\square$  but it has **5.** equanimity (upekkhā)

It should be reiterated here that this 'fivefold scheme' (pañcakanaya), shows that the 2<sup>nd</sup> jhāna can be attained in two different ways. It is attained differently by those who cannot, or do not want to overcome applied and sustained thought simultaneously but eliminate them successively—first applied thought (vitakka) and then sustained thought (vicāra). Therefore, the widespread opinion that the fivefold jhāna scheme (pañcakanaya) is found in the Abhidhamma only and it thus contradicts the Suttas is untenable because it occurs several times in the Suttas which the Abhidhamma copies.

## **Modern Scholars**

### Ven. Nyanatiloka

In his famous *Buddhist Dictionary*, Ven. Nyanatiloka acknowledges also that the fivefold *jhāna* scheme (*pañcaka-naya*), which he calls the 'fivefold division', is based on Sutta texts and is not an Abhidhamma invention. Thus he writes:

"In the Abhidhamma, generally a fivefold instead of a fourfold division of the fine-material absorptions is used: the 2nd absorption has still the constituent 'discursive thinking' ([vicāra] but without thought-conception [without vitakka]), while the 3rd, 4th and 5th correspond to the 2nd, 3rd and 4th, respectively, of the fourfold division (s. Tab. I, 9- 13). This fivefold division is based on Sutta texts like A. VIII, 63."<sup>5</sup>

Page **8** of **10** 

<sup>&</sup>lt;sup>5</sup> Buddhist Dictionary, explanation of **Jhāna**.

**Table I, 9-13** 

	(9) 1st Jhāna (Imp.)
Fine-material	(10) 2nd Jhāna (Imp.)
Sphere	(11) 3rd Jhāna (Imp.)
(Absorptions)	(12) 4th Jhāna (Imp.)
	(13) 5th Jhāna (Imp.)

#### Ven. Bhikkhu Bodhi

Ven. Bhikkhu Bodhi too acknowledges that the fivefold *jhāna* scheme (*pañcakanaya*) is based on Sutta texts. Thus he writes:

"While, in the jhāna scheme of the Nikāyas, the transition from the first to the second jhāna is marked by the simultaneous elimination of thought [vitakka] and examination [vicāra], other texts distinguish samādhi as threefold: as with thought [vitakka] and examination [vicāra], without thought [avitakka] but with examination [vicāra], and without thought [avitakka] and examination [vicāra] (see DN 33.1.10, III 219,19–20; MN 128.31, III 162,13–16; SN 43:3, IV 360,11–13). This middle stage of samādhi gave rise, in the Abhidhamma, to a fivefold scheme of jhānas that inserts, after the first jhāna, a second jhāna that is without thought [avitakka] but with examination [vicāra]. This scheme then renumbers the second, third, and fourth jhānas of the fourfold scheme as the third, fourth, and fifth jhānas to obtain a fivefold scheme."

## **Bhante Henepolla Gunaratne**

In his A Critical Analysis of the Jhanas, Bhante H. Gunaratne admits that the fivefold jhāna scheme (pañcakanaya) is based on Suttas. Thus he writes:

"The fivefold reckoning of jhāna first appears in the Abhidhammapiṭaka and remains as a distinctive feature of the "Abhidhamma method," yet this system has a definite basis in the suttas. Though the suttas always speak of four jhānas, they divide concentration (samādhi) into three types: a concentration with applied thought and sustained thought [savitakko savicāro samādhi], a concentration without applied thought but with sustained thought [avitakkavicāramatto samādhi], and a concentration without either applied thought or sustained thought [avitakko avicāro samādhi].

In following the fivefold system of jhānas, a meditator who has mastered the first jhāna and aspires to go higher reviews its factors and finds only applied thought (*vitakka*) to be gross. Thus he endeavors to eliminate only applied thought, and attains a second jhāna which is devoid of applied thought (*avitakka*) but still associated with sustained thought (*vicāramatta*.). This second jhāna of the fivefold scheme is the addition which is not present in the fourfold scheme. After mastering the second jhāna, the meditator finds sustained thought to be gross, eliminates it, and attains a third jhāna which is identical with the second jhāna of the fourfold system. The fourth and fifth jhānas of the fivefold system are the same as the third and fourth jhānas of the fourfold system, respectively.

The two different systems seem to answer to the differing capacities of meditators for progressing along the scale of mental unification. This difference in capacity could stem either from their differing abilities

<sup>&</sup>lt;sup>6</sup> Bhikkhu Bodhi (2012), The numerical discourses of the Buddha: A Translation of the Aṅquttara Nikāya, note 1765.

to comprehend vitakka and vicāra simultaneously or from their differing abilities to abandon them simultaneously. The progress of one following the fourfold method is more rapid, as he eliminates two factors in moving from the first to second jhāna. Yet both start from the same place, move through the same range of spiritual experience, and (providing they succeed in reaching the highest jhāna in their respective systems) arrive in the end at the same destination.

The two meditators can be compared to two mountain climbers. Both start out at the foot of a mountain at the same time. Both may reach the same initial rest station at the same time. But then their rates of progress may show a difference. The stronger may continue on more quickly, bypass the second rest station, and go right on to the third before stopping. The weaker will advance more slowly and have to make separate stops at the second and third rest stations. Both will stop at the fourth and at the fifth station at the top. Thus for both mountain climbers their position is the same when starting out at the bottom of the mountain, at the first station, and when reaching the top. They differ only in their rates of progress and in the number of stops they have to make to arrive at the top. Similarly for the two meditators of the fourfold and fivefold systems. Their first jhāna is the same, and their final achievement is the same. But the follower of the fivefold system has made an additional stop passed over by the follower of the fourfold system. This stop is the added second jhāna of the fivefold system, free from applied thought but having sustained thought."<sup>7</sup>

<sup>&</sup>lt;sup>7</sup> Bhante H. Gunaratne (1980) A Critical Analysis of the Jhanas, The American University, p. 125-6.